

Attorney's Docket No.: 14173-002001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jayendu Patel

Serial No. : 10/643,439

Filed : August 19, 2003

Title : STATISTICAL PERSONALIZED RECOMMENDATION SYSTEM

Art Unit : 3623

Examiner : Tamara L. Graysay

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. Please apply the \$180 payment of the late submission fee of §1.17(p) and any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

Jan. 10, 2006J. Robin Rohlicek

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I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

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Sheet 1 of 1

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|--|--|--|--------------------------------------|
| Substitute Form PTO-1449<br>(Modified)<br><br><b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br><b>14173-002001</b> | Application No.<br><b>10/643,439</b> |
|  |  | Applicant<br><b>Jayendu Patel</b>            |                                      |
|  |  | Filing Date<br><b>August 19, 2003</b>        | Group Art Unit<br><b>3623</b>        |

| Other Documents (include Author, Title, Date, and Place of Publication) |           |   |
|---|-----------|---|
| Examiner Initial  | Desig. ID | Document  |
|   | AU        | B.P.S. Murthi and Sumit Sarkar, "The role of the Management Sciences in Research on Personalizaiton", Management Science, Informs, Vol. 49, No. 10, pp. 1344-1362, October 2003 |
|   | AV        |   |
|   | AW        |   |
|   | AX        |   |

|  |                 |
|--|-----------------|
| Examiner Signature   | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |

Substitute Disclosure Form (PTO-1449)